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PTO/SB/21 (08-00)
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

10/672,081

Sep 26, 2003

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**Application Number** 

**Filing Date** 

(to be used for all con	nespondence alter illilia	ar ming)	First Named Inventor	Clark		
			Group Art Unit	3629		
			Examiner Name	NYA		
Total Number of Pages	s in This Submission	5+	Attorney Docket Number	215.10	019.01	
		ENCLOS	URES (check all that apply	<u>)                                    </u>		
Fee Transmitta	ll Form		signment Papers an Application)		After Allowance Communication to Group	
Fee Att	ached	Dra	wing(s)		Appeal Communication to Board of Appeals and Interferences	
Amendment / F	Reply	Lice	ensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
After Fi	nal	Pet	ition		Proprietary Information	
Affidavi	ts/declaration(s)		ition to Convert a visional Application		Status Letter	
Extension of Ti	me Request	Pov Cha	ver of Attorney, Revocation ange of Correspondence Address	$\boxtimes$	Other Enclosure(s) (please identify below):	
Express Abandonment Request		Ter	minal Disclaimer		PTO Form SB08A PTO Form SB08B	
Information Disclosure Statement		Red	quest for Refund		Copies of References Return Postcard	
Certified Copy of Document(s)	of Priority	CD	, Number of CD(s)			
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<del></del>	SIGNATO	INE UP A	APPLICANT, ATTORNEY, O	n AUEN	·	
Firm or Individual name	Steven A. Swe	vernofsky			Reg. no. 33,040	
Signature	54 Sue	molster"				
Date	2-26-20					
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Attorney Docket 215.1019.01

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Applica	ation of: Clark	Art Unit:	3629
Serial No.	10/672,081	Examiner:	NYA
Filed:	9/26/2003		
For:	Visibility and Synchronization in a Multi Tier Supply Chain Model		
		CERTI	FICATE OF
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Name

## **INFORMATION DISCLOSURE STATEMENT**

Honorable Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

This Information Disclosure Statement is submitted under

11115	information Disclosure Statement is submitted under.
$\boxtimes$	37 CFR 1.97(b) (Within three months of the national application filing or the entry of international application, OR before the mailing date of first Office Action, whichever occurs last.)
	37 CFR 1.97(c) together with either a:
<u>or</u>	☐ Statement under 37 CFR 1.97(e) ☐ \$180.00 fee set forth in 37 CFR 1.17(p)
	(After the CFR 1.97(b) time period, but before final Office Action or Notice of Allowance, whichever occurs first.)
	37 CFR 1.97(d) together with a:
	Statement under 37 CFR 1.97(e)
<u>and</u>	\$180.00 fee set forth in 37 CFR 1.17(p)
	(After the CFR 1.97(c) time period, but before payment of the Issue fee.)

## **List of Documents**

Applicant(s) submits herewith:
Form PTO SB/08A (Information Disclosure Statement by Applicant) listing patent document(s) of which applicant(s) believes might be material to the examination of this application and for which there might be a duty to disclose in accordance with 37 CFR 1.56.
Form PTO SB/08B (Information Disclosure Statement by Applicant) listing non-patent document(s) of which applicant(s) believes might be material to the examination of this application and for which there might be a duty to disclose in accordance with 37 CFR 1.56.
Copies of Documents
Applicant(s) submits herewith copies of <u>all</u> listed documents.
Copies of the listed documents are not included with this filing. Copies of these documents were previously submitted in the following related application:
Docket No.: Serial No.: Filed:
THE EXAMINER IS ENCOURAGED AND EXHORTED TO MAKE HIS OR HER OWN INDEPENDENT EVALUATION OF POSSIBLE RELEVANCE OF THE LISTED DOCUMENTS.
At the present time, Applicant(s) submits that the listed document(s), taken alone or in combination, neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.
In the event fees are required, authorization is hereby granted to charge these fees to Deposit Account No. 50-0365.
It is requested that the information disclosed herein be made of record in this application.
Respectfully submitted,
Date: 2-26-2004 SASwernofon
Steven A. Swernofsky ( ) Reg. No. 33,040

Swernofsky Law Group P.O. Box 390013 Mountain View, CA 94039-0013 (650) 947-0700 While Please type a plus sign (+) inside this box → ⊞

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ute for form 1449A	/PTO		Complete if Known		
			Application Number	10/672,081	
PRMATION	I DISC	LOSURE	Filing Date	9/26/2003	
STATEMENT BY APPLICANT			First Named Inventor	Clark	
			Group Art Unit	3629	
(use as many she	ets as nec	essary)	Examiner Name	NYA	
1	of	1	Attorney Docket Number	215.1019.01	
	ORMATION TEMENT E	DRMATION DISC TEMENT BY API  (use as many sheets as nec	DRMATION DISCLOSURE TEMENT BY APPLICANT  (use as many sheets as necessary)	PRMATION DISCLOSURE TEMENT BY APPLICANT  (use as many sheets as necessary)  Application Number Filing Date  First Named Inventor  Group Art Unit Examiner Name	

	U.S. PATENT DOCUMENTS									
Examiner Initials*	Cite No¹	U.S. Patent Document  Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
	1	5,924,072	Α	Havens	07-13-1999					
	2	6,115,690	Α	Wong	09-05-2000					
	3	6,163,859	Α	Lee et al.	12-19-2000					
	4	6,202,159	B1	Ghafir et al.	03-13-2001					
	5	6,292,830	B1	Taylor et al.	09-18-2001					
	6	6,314,468	B1	Murphy et al.	11-06-2001	·				
	7	2002/0010741	A1	Stewart et al.	01-24-2002					
	8	2002/0099598	A1	Eicher, Jr. et al.	07-25-2002					
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				FORE	IGN PATENT DOCUMENTS			
Examiner Initials*	Cite No <sup>1</sup>	Office <sup>3</sup>	oreign Patent Document  Number <sup>4</sup>	Kind Code <sup>5</sup> ( <i>if known</i> )	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
	1	wo	02/080042	A1	Clark	10-10-2002		
	2	wo	03/030063	A1	Clark	04-10-2003		1
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Signature	Considered	

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\*Unique citation designation number. \*See attached Kinds of U.S. Patent Documents. \*Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \*Applicant is to place a check mark here if English language Translation is attached.

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				<b>Application Number</b>	10/672,081	
INF	ORMATION	N DISC	LOSURE	Filing Date	9/26/2003	
STATEMENT BY APPLICANT			PLICANT	First Named Inventor	Clark	
				Group Art Unit	3629	
	(use as many sh	eets as nec	essary)	Examiner Name	NYA	
Sheet	1	of	1	Attorney Docket Number	215.1019.01	

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>				
	1	DESCARTES.COM. "Inventory Demand Matcher." 2001, <a href="http://www.descartes.com">http://www.descartes.com</a> , The Descartes Systems Group Inc.					
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Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.